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| APPLICATION NO.    | FILING DATE                                  | . FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--------------------|--|------------------------|---------------------|------------------|
| 10/583,480         | 05/17/2007                                   | Stephan Lietz          | 10191/4233          | 4944             |
|                    | 26646 7590 02/21/2008<br>KENYON & KENYON LLP |                        | EXAMINER            |                  |
| ONE BROADWAY       |  |                        | KIM, EDWARD J       |                  |
| NEW YORK, NY 10004 |  |                        | ART UNIT            | PAPER NUMBER     |
|                    |  |                        | 2155 .              | <del>-</del>     |
|                    |  |                        |                     |                  |
|                    |  |                        | MAIL DATE           | DELIVERY MODE    |
|                    |  |                        | 02/21/2008          | PAPER            |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

|   | Application No.   | Applicant(s)   |  |  |  |  |
|---|---|--|--|--|--|--|
|   | 10/583,480  | LIETZ ET AL.   |  |  |  |  |
| Office Action Summary   | Examiner  | Art Unit   |  |  |  |  |
| · _   | EDWARD J. KIM   | 2155   |  |  |  |  |
| The MAILING DATE of this communication ap<br>Period for Reply   | pears on the cover sheet wi   | th the correspondence address  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING E  - Extensions of time may be available under the provisions of 37 CFR 1. after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period Failure to reply within the set or extended period for reply will, by statut Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | DATE OF THIS COMMUNIC<br>.136(a). In no event, however, may a red<br>d will apply and will expire SIX (6) MON<br>te, cause the application to become AB | CATION.  apply be timely filed  THS from the mailing date of this communication.  ANDONED (35 U.S.C. § 133). |  |  |  |  |
| Status  |   |  |  |  |  |  |
| 1) Responsive to communication(s) filed on 17 I   | <u>May 2007</u> .   |  |  |  |  |  |
| 2a) This action is <b>FINAL</b> . 2b) ☑ Thi   |   |  |  |  |  |  |
| 3) Since this application is in condition for allowed   | ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is                                       |  |  |  |  |  |
| closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.   |   |  |  |  |  |  |
| Disposition of Claims   |   |  |  |  |  |  |
| 4)⊠ Claim(s) <u>9-17</u> is/are pending in the application  | n   |  |  |  |  |  |
| 4a) Of the above claim(s) is/are withdra  | 4a) Of the above claim(s) is/are withdrawn from consideration.  |  |  |  |  |  |
| 5) Claim(s) is/are allowed.   |   |  |  |  |  |  |
| 6)⊠ Claim(s) <u>9-17</u> is/are rejected.   |   |  |  |  |  |  |
| 7) Claim(s) is/are objected to.   |   |  |  |  |  |  |
| 8) Claim(s) are subject to restriction and/   | or election requirement.  |  |  |  |  |  |
| Application Papers  |   |  |  |  |  |  |
| 9)☐ The specification is objected to by the Examin  | er.   | ٠.   |  |  |  |  |
| 10)⊠ The drawing(s) filed on <u>19 June 2006</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.   |   |  |  |  |  |  |
| Applicant may not request that any objection to the   | e drawing(s) be held in abeyan  | ce. See 37 CFR 1.85(a).  |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  |   |  |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  |   |  |  |  |  |  |
| Priority under 35 U.S.C. § 119  |   |  |  |  |  |  |
| 12) Acknowledgment is made of a claim for foreign a) ☐ All b) ☐ Some * c) ☐ None of:  |   | 119(a)-(d) or (f).   |  |  |  |  |
| 1. Certified copies of the priority documents have been received.   |   |  |  |  |  |  |
| 2. Certified copies of the priority documents have been received in Application No  |   |  |  |  |  |  |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).   |   |  |  |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.  |   |  |  |  |  |  |
| dec ine dilacrica detailed office action for a no   | t of the certified depice flot  | 9  |  |  |  |  |
| Attachment(s)   |   |  |  |  |  |  |
| 1) Notice of References Cited (PTO-892)   | 4) Interview S  | ummary (PTO-413)   |  |  |  |  |
| Paper No(s)/Mail Date  Notice of Draftsperson's Patent Drawing Review (PTO-948)  Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date  Notice of Informal Patent Application  |   |  |  |  |  |  |
| Paper No(s)/Mail Date <u>06/19/2006</u> . 6) Other:   |   |  |  |  |  |  |

Application/Control Number: 10/583,480 Page 2

Art Unit: 2155

### **DETAILED ACTION**

1. This action is a responsive to the application filed on 05/17/2007.

2. Claims 9-17 are pending in this office action.

3. The claims are directed towards a network bridge for monitoring the contents and/or volume of incoming/outgoing data that are flowing through the network bridge or its memory.

### **Priority**

4. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

### Inventorship

5. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

#### Information Disclosure Statement

6. The information disclosure statement (IDS) submitted was filed on 06/19/2006. The submission is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.

### Claim Rejections - 35 USC § 112

7. The following is a quotation of the second paragraph of 35 U.S.C. 112:

Art Unit: 2155

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The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

8. <u>Claims 7 and 14 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite</u>

for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 7 recites, "a network bridge wherein an extent of a data analysis by the means for monitoring is scaleable". The term "scaleable" is vague and indefinite, failing to particularly point out and distinctly claim the subject matter which the Applicant regards as the invention. It is not clear what the term "scaleable" is referring to. The Examiner interprets this term as broadly as possible for examination purposes, abiding by the guidelines set forth by the Office.

Claim 14 recites, "in addition to an analysis of the data, a manipulation of the data is performed as well". The term "manipulation" of data is vague and indefinite, failing to particularly point out and distinctly claim the subject matter which the Applicant regards as the invention. It is not clear what the term "manipulation" is referring to, and the Examiner interprets the term as broadly as possible for examination purposes, abiding by the guidelines set forth by the Office.

## Claim Rejections - 35 USC § 102

9. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 10. <u>Claims 9, 11-17 are rejected under 35 U.S.C. 102(b) as being anticipated by Koch et al.</u>
  (US Patent #4,715,030), hereinafter referred to as Koch.

Application/Control Number: 10/583,480

Art Unit: 2155

Regarding claim 9, Koch discloses, a network bridge comprising: means for monitoring at least one of contents and volume of at least one of incoming and outgoing data flowing through at least one of the network bridge and its memory (Koch, Abstract, col.1 ln.49-65, col.2 ln.55-col.3 ln.10, col.4 ln.54-64, col.6 ln.8-15. Koch discloses a network bridge that monitors both incoming and outgoing data.), the means for monitoring being one of (a) at least one of configurable and controllable by a higher-level instance and (b) predefined (Koch, Abstract, col.1 ln.49-65, col.3. ln.1-10, col.6 ln.7-16, col.8 ln.1-col.9 ln.42. Koch discloses various ways of determining the action resulting from monitoring.).

Regarding claim 11, Koch disclosed the limitations, as described in claim 9, and further discloses, a network bridge wherein the higher-level instance includes at least one of a management and configuration layer for the network bridge (Koch, Abstract, col.1 ln.49-65, col.3. ln.1-10, col.6 ln.7-16, col.8 ln.1-col.9 ln.42.).

Regarding claim 12, Koch disclosed the limitations, as described in claim 9, and further discloses, a network bridge wherein the means for monitoring encompasses a software component within a network bridge architecture, the component having at least one of a gateway functionality and a firewall functionality (Koch, Abstract, col.1 ln.49-65, col.3. ln.1-10, col.6 ln.7-16, col.8 ln.1-col.9 ln.42.).

Regarding claim 13, Koch disclosed the limitations, as described in claim 9, and further discloses, a network bridge wherein an extent of a data analysis by the means for monitoring is scaleable (Koch, Abstract, col.1 ln.49-65, col.3. ln.1-10, col.6 ln.7-16, col.8 ln.1-col.9 ln.42.).

Application/Control Number: 10/583,480

Art Unit: 2155

Regarding claim 14, Koch disclosed the limitations, as described in claim 9, and further discloses, a network bridge wherein the means for monitoring is configured in such a way that in addition to an analysis of the data, a manipulation of the data is performed as well (Koch, col.8 ln.30-45. Koch discloses that the bridge decodes the data received for monitoring purposes, as well as encoding prior to transmission, hence, the bridge manipulates the data.).

Regarding claim 15, Koch disclosed the limitations, as described in claim 9, and further discloses, a network bridge wherein an analysis and manipulation of the data are performable in various layers of a layer model, including an OSI reference model (Koch, col.1 ln.26-45, col.2 ln.26-26. OSI reference model is disclosed as an example of a layer model, upon which the invention is implemented.).

Regarding claim 16, Koch disclosed the limitations, as described in claim 9, and further discloses, a network bridge according wherein the means for monitoring is configured to one of block and prioritize at least one of address interfaces, input interfaces, output interfaces, and logged data, on the basis of an evaluation (Koch, Abstract, col.1 ln.49-65, col.3. ln.1-10, col.6 ln.7-16, col.8 ln.1-col.9 ln.42. Koch discloses various ways of determining the action resulting from monitoring, such as blocking transmission from certain addresses, etc.).

Regarding claim 17, Koch discloses, a system comprising: a plurality of network bridges, each of the network bridges including means for monitoring at least one of contents and volume of at least one of incoming and outgoing data flowing through at least one of the network bridge and its memory (Koch, Abstract, col.1 ln.49-65, col.2 ln.55-col.3 ln.10, col.4 ln.54-64, col.6 ln.8-15. Koch discloses a network bridge that monitors both incoming and outgoing data.), the means for monitoring being one of (a) at least one of configurable and controllable by a

Application/Control Number: 10/583,480

Art Unit: 2155

higher-level instance and (b) predefined (Koch, Abstract, col.1 ln.49-65, col.3. ln.1-10, col.6 ln.7-16, col.8 ln.1-col.9 ln.42. Koch discloses various ways of determining the action resulting from monitoring.), the means for monitoring being individually configurable in each network bridge in order to allow each network bridge, independently of other of the network bridges, to be capable of performing functions of one of a gateway and a firewall (Koch, Abstract, col.1 ln.49-65, col.3. ln.1-10, col.6 ln.7-16, col.8 ln.1-col.9 ln.42.).

### Claim Rejections - 35 USC § 103

- 11. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 12. Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Koch et al. (US Patent #4,715,030), hereinafter referred to as Koch, in view of Kondou et al. (US Patent #6,519,671 B1), hereinafter referred to as Kondou.

Regarding claim 10, Koch disclosed the limitations, as described in claim 9, and further discloses the use of the network bridge for coupling network buses (Koch, col.4 ln.44-50, col.5 ln.14-20.), however, does not explicitly disclose a network bridge for coupling IEEE 1394.

Kondou discloses, method of network configuration, method and apparatus for information processing, and computer-readable media utilizing a bridges. Kondou further discloses a network bridge wherein the network bridge is for coupling IEEE 1394 buses (Kondou, Abstract, col.1 ln.8-12, col.1 ln.15-20, col.4 ln.57-61.). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of

Koch with those of Kondou to implement network bridges for coupling IEEE 1394 buses. One would have been motivated to do so, as Koch discloses that the invention is used for known coupling network buses, and IEEE 1394 buses was a well-known standard.

#### Conclusion

Examiner's Note: Examiner has cited particular columns and line numbers in the references applied to the claims above for the convenience of the applicant. Although the specified citations are representative of the teachings of the art and are applied to specific limitations within the individual claim, other passages and figures may apply as well. It is respectfully requested from the applicant in preparing responses, to fully consider the references in entirety as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the prior art or disclosed by the Examiner.

In the case of amending the claimed invention, Applicant is respectfully requested to indicate the portion(s) of the specification which dictate(s) the structure relied on for proper interpretation and also to verify and ascertain the metes and bounds of the claimed invention.

The prior art made of record and not relied up on is considered pertinent to applicant's disclosure.

- Makinson et al., US Publication #2003/0021280 A1, Malware Scanning using a
  Network Bridge, discloses a network bridge to scan for malware and manipulate data
  accordingly, without imposing too much load/delay on the network.
- Koch et al., US Patent #4,737,953, Local Area Network Bridge.

Art Unit: 2155

 Leone, US Patent #4,922,503, Local Area Network Bridge, discloses a bridge for interconnecting local area networks utilizing a filter to decide whether or not to forward a frame.

Sheehy, US Patent #4,933,938, Group Address Translation through a Network
 Bridge.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Edward J. Kim whose telephone number is (571) 270-3228. The examiner can normally be reached on Monday - Friday 7:30am - 5:00pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Saleh Najjar can be reached on (571) 272-4006. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Edward J Kim AU 2155

SAMH NAJJAR SUPERVISORY PATENT EXAMINER